

525 Rec PCT/PTO 11 JUL 2002  
10/019596  
VOS-25

Applicants: Plückthun, Andreas, Arndt, Katja, Müller, Kristian, and Pelletier, Joelle  
Title: HETERO-ASSOCIATING COILED-COIL PEPTIDES  
Filing Date: December 26, 2001  
Application No.: 10/019,596  
Confirmation No.: 8037  
Group Art Unit: Not yet assigned  
Examiner: Not yet assigned

"Express Mail" mailing label number: EI125456845US  
Date of Deposit: July 11, 2002

I hereby certify that this paper/fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Hon. Commissioner for Patents, Box PCT, P.O. Box 2327, Arlington, V.A. 22202.

07/18/2002 GFREY1 00000100 10019596

01 FC:154	130.00 OP
02 FC:966	18.00 OP
03 FC:968	280.00 OP

Adjustment date: 09/09/2003 MKAYPAGH  
07/18/2002 GFREY1 00000100 10019596  
01 FC:154 -130.00 OP

  
Lillian Garcia

Encls.:

- (1) Transmittal letter (in duplicate)
- (2) Copy of the Notification of Missing Requirements
- (3) Executed Declaration and Power of Attorney
- (4) Check in the amount of \$130 for the fee under 37 C.F.R. § 1.492(e)
- (5) Paper Copy of the Sequence Listing
- (6) Computer Readable Copy of the Sequence Listing
- (7) Statement in Support of Sequence Listing
- (8) Response to Notification of Missing Requirements and Supplemental Preliminary Amendment
- (9) Check in the amount of \$298 for additional claim fees
- (10) Petition for Extension of Time (in duplicate)
- (11) Check in the amount of \$920 for a three-month extension of time
- (12) Postcard

REV. 9/01  
For Other Than A Small Entity

Docket No. VOS-25

Applicant(s) : Plückthun, Andreas, Arndt, Katja,  
Müller Kristian and Pelletier, Joelle  
Application No. : 10/019,596  
Confirmation No.: 8037  
Filed : December 26, 2001  
For : HETERO-ASSOCIATING COILED-COIL PEPTIDES  
Group Art Unit : Not yet assigned  
Examiner : Not yet assigned

Hon. Commissioner  
for Patents  
Washington, D.C. 20231

New York, New York  
July 11, 2002

TRANSMITTAL LETTER

Transmitted herewith: [X] a Copy of the Notification Of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office mailed February 11, 2002; [X] an executed Declaration and Power of Attorney; [X] a Check for \$130 for providing the oath or declaration later than 30 months from the priority date under 37 C.F.R. § 1.492(e); [X] a Paper Copy of the Sequence Listing; [X] a Computer Readable Copy of the Sequence Listing; [X] a Statement in Support of Sequence Listing; [X] a Response to Notification of Missing Requirements and Supplemental Preliminary Amendment; [X] a Check for \$298 for additional claim fees; [X] a Petition for Extension of Time (in duplicate); [X] a Check for 920.00 for petition for a three-month extension of time to be filed in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

EI125456845US

[ ] A fee for additional claims is not required.

[X] A fee for additional claims is required.

The additional fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	23	- 22	= 1 X	\$ 18 =	\$ 18
INDEPENDENT CLAIMS	-	**	= X	\$ 84 =	\$ 0
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM			+ \$280 =		\$ 280
* If less than 20, insert 20.					TOTAL \$ 298

\*\* If less than 3, insert 3.

[X] A check in the amount of \$ 298.00 in payment of the filing fee is transmitted herewith.

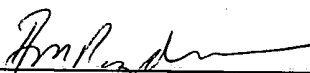
[X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

#### EXTENSION FEE

[X] The following extension is applicable to the Response filed herewith; [X] \$920.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a).

[X] A check in the amount of [X] \$920.00 in payment of the extension fee is transmitted herewith.

- [X] The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box 901  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/019,596	FIRST NAMED APPLICANT Andreas Pluckthun	ATTY. DOCKET NO. VOS-25
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1473  
FISH & NEAVE  
1251 AVENUE OF THE AMERICAS  
50TH FLOOR  
NEW YORK, NY 10020-1105

INTERNATIONAL APPLICATION NO. PCT/EP00/05922	
I.A. FILING DATE 06/26/2000	PRIORITY DATE 06/25/1999

CONFIRMATION NO. 8037  
371 FORMALITIES LETTER



\*OC000000007453336\*

Date Mailed: 02/11/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination

**RECEIVED**

FEB 20 2002

FISH & NEAVE - PATENT DEPT.  
REFERRED TO CXW  
NOTED BY \_\_\_\_\_

DOCKETED FOR

4/11/02

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

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The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - This application does not contain a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).
  - **APPLICANT MUST PROVIDE:**
    - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)
- Additional claim fees of **\$298** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$428** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$298**
  - **\$18** for 3 total claims over 20.
  - **\$280** for multiple dependant claims surcharge.
- This application does not contain a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

WINSTON M ALVARADO

Telephone: (703) 305-6421

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
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10/019,596

PCT/EP00/05922

VOS-25

FORM PCT/DO/EO/905 (371 Formalities Notice)